

UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/653,102	08/31/2000	Mark A. Nordlicht	3024/FLK	4063
7278 7590 01/26/2007 DARBY & DARBY P.C. P. O. BOX 5257 NEW YORK, NY 10150-5257			EXAMINER	
			WEISBERGER, RICHARD C	
			ART UNIT	PAPER NUMBER
			3693	
· .				
SHORTENED STATUTOR	Y PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE	
2 MO	NTHS	01/26/2007	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.



UNITED STATES DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office

Address: COMMISSIONER FOR PATENTS P.O. Box 1450

Alexandria, Virginia 22313-1450

APPLICATION NO./	FILING DATE	FIRST NAMED INVENTOR /	ATTORNEY DOCKET NO.
CONTROL NO.		PATENT IN REEXAMINATION	

10/653, 102

EXAMINER

ART UNIT PAPER

20070121

DATE MAILED:

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner for Patents

Richard C Weisberger Primary Examiner Art Unit: 3693 Applicant and the assignee of this application are required under 37 CFR 1.105 to provide the following information that the examiner has determined is reasonably necessary to

the examination of this application.

The information is required to identify products and services embodying the disclosed

subject matter of the system know as OPEX.

In order to better understand the claimed language, please provide access to the examiner

for this system.

In response to this requirement, please agree or disagree to the stipulation of each of the

following assertions of facts:

The systems known as ProOptics and/or OptionsXpress and/or Interacive Broker, and/or

Cybertrader was publicly available prior to the filing date of the instant application.

This requirement is subject to the provisions of 37 CFR 1.134, 1.135 and 1.136 and has a

shortened statutory period of two months. EXTENSIONS OF THIS TIME PERIOD MAY BE

GRANTED UNDER 37 CFR 1.136(a).

Respectfully

Ву,

Richard Weisberger

AU 3993

James Kramer SPE